Electronic Acknowledgement Receipt		
EFS ID:	3531742	
Application Number:	10632818	
International Application Number:		
Confirmation Number:	5247	
Title of Invention:	Auction system and method for pricing and allocation during capital formation	
First Named Inventor/Applicant Name:	William R. Hambrecht	
Customer Number:	2101	
Filer:	Jay Sandvos	
Filer Authorized By:		
Attorney Docket Number:	2955/107	
Receipt Date:	27-JUN-2008	
Filing Date:	04-AUG-2003	
Time Stamp:	14:37:04	
Application Type:	Utility under 35 USC 111(a)	
Payment information:		
Submitted with Payment	yes	
Payment Type	Deposit Account	
December of the construction DAM	1. '	

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$50
RAM confirmation Number	8751
Deposit Account	194972
Authorized User	

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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
		dcg2955107_SupplAmendm ent.pdf	198137	ves	11
.			5d834c1953de6l07e8a9a9a395c7a78d bfla9ca5	1 '	

# Multipart Description/PDF files in .zip description

Document Description	Start	End
Miscellaneous Incoming Letter	1	2
Supplemental Response or Supplemental Amendment	3	11

# Warnings:

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	2	Fee Worksheet (PTO-06)	fee-info.pdf	8161 11595027dab01a8011050e26ed00775	no	2
ı				5c53adb3		

#### Warnings:

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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the international Application Number and of the international Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.